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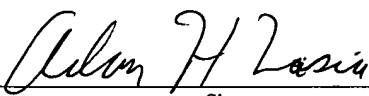
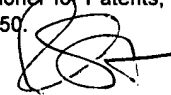
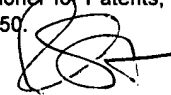
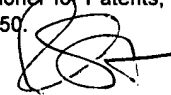
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IPW DAC

TRANSMITTAL LETTER (General - Patent Pending)				Docket No. 112300-1409					
In Re Application Of: <b>Randall D. Mead et al.</b>									
Application No. 10/661,036		Filing Date 09/12/03	Examiner Unknown	Customer No. 29159	Group Art Unit 3713				
Confirmation No. 6686									
Title: <b>GAMING DEVICE HAVING MULTIPLE SELECTION GROUPS WITH RANDOMLY ALIGNING ADVANCES</b>									
<p style="text-align: center;"><u>COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is:</p> <p><b>Transmittal Letter (1 pg.); Petition to Correct Filing Date (3 pgs.); Updated Filing Receipt (2 pgs.); Notice of Omitted Item(s) in a Nonprovisional Application (2 pgs.); a copy of the Express Mail Receipt (1 pg.); a postcard which we ask you to date stamp and return.</b></p> <p>in the above identified application.</p> <p><input type="checkbox"/> No additional fee is required.</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <p style="text-align: center;"> _____ Signature</p> <p>Dated: July 9, 2004</p> <p>Adam H. Masia (Reg. No. 35,602) Bell, Boyd &amp; Lloyd, LLC P.O. Box 1135 Chicago, IL 60690-1135 Phone: 312-807-4284</p> <table border="1"><tr><td>I certify that this document and fee is being deposited on July 9, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. </td></tr><tr><td>Signature of Person Mailing Correspondence</td></tr><tr><td>Renee Street</td></tr><tr><td>Typed or Printed Name of Person Mailing Correspondence</td></tr></table>						I certify that this document and fee is being deposited on July 9, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 	Signature of Person Mailing Correspondence	Renee Street	Typed or Printed Name of Person Mailing Correspondence
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Signature of Person Mailing Correspondence									
Renee Street									
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CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Randall D. Mead et al.  
Appl. No.: 10/661,036  
Filed: September 12, 2003  
Title: GAMING DEVICE HAVING MULTIPLE SELECTION GROUPS WITH  
RANDOMLY ALIGNING ADVANCES  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 0112300-1409

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO CORRECT FILING DATE**

Sir:

Applicants respectfully submit that the filing date stated in the Updated Filing Receipt and the Notice of Omitted Items, both mailed on March 15, 2004 is incorrect. The Updated Filing Receipt mailed on March 15, 2004, as well as the Notice of Omitted Items mailed on March 15, 2004, state the filing date of the above-referenced application is February 23, 2004. The correct filing date of the above-referenced application is September 12, 2003, as noted on the Original Filing Receipt mailed on January 26, 2004.

A complete copy of the following documents are enclosed:

1. Stamped Postcard
2. Filing Receipt mailed on January 26, 2004
3. Notice to File Missing Parts Filing Date Granted Mailed on January 26, 2004
4. Updated Filing Receipt mailed on March 15, 2004
5. Notice of Omitted Items mailed on March 15, 2004

Applicants filed the above-referenced application on September 12, 2003. Enclosed is a copy of the postcard submitted with the above-referenced application. The PTO stamped the postcard as having received the above-referenced application on

September 12, 2003. The PTO mailed the Original Filing Receipt on January 26, 2004, which grants the filing date of September 12, 2003.

The PTO mailed a Notice to File Missing Parts of Nonprovisional Application Filing Date Granted on January 26, 2004. The Notice to File Missing Parts stated that the oath or declaration was unsigned and that Figure 10 appeared to have been omitted from the application.

In response, Applicants filed a Response to Notice to File Missing Parts with an Executed Declaration and Power of Attorney on February 20, 2004. The submission of the Oath and Declaration did not change the September 12, 2003 filing date.

The Notice to File Missing Parts stated that Figure 10 was described in the specification and was omitted from the application. The Notice stated "that the failure to file a petition under options (I) or (II) within two months of the date of the Notice will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO..." Applicants did not file a petition under above options (I) or (II) and therefore constructively elected to maintain the original filing date of September 12, 2003.


The Updated Filing Receipt and the Notice of Omitted Items both mailed on March 15, 2004, incorrectly state the filing date of the above-referenced application is February 23, 2004. Accordingly, Applicants respectfully request that the PTO issue a new filing receipt granting September 12, 2003 as the filing date.

A petition fee in the amount of \$130.00 is submitted herewith. Please charge Deposit Account No. 02-1818 for any insufficiency of payment or credit for any overpayment.

If there are any questions regarding this Petition, Applicants respectfully requests that the Patent Office contact the undersigned.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY   
\_\_\_\_\_  
Adam H. Masia  
Reg. No. 35602  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

Dated: July 9, 2004



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/661,036	02/23/2004	3713	1846	0112300-1409	12	55	7

Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, IL 60690-1135

CONFIRMATION NO. 6686

## UPDATED FILING RECEIPT



\*OC000000012106279\*

Date Mailed: 03/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Randall D. Mead, Reno, NV;  
Wing Yee Ngan, Reno, NV;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/23/2004

Projected Publication Date: To Be Determined - pending completion of Omitted Items

Non-Publication Request: No

Early Publication Request: No

## Title

Gaming device having multiple selection groups with randomly aligning advances

Preliminary Class

463

**RECEIVED**  
BELL, BOYD & LLOYD  
INTELLECTUAL PROPERTY DOCKET

MAR 18 2004

ATTY: AHM  
DOCKET #: 112300-1409

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/661,036	02/23/2004	Randall D. Mead	0112300-1409

Bell, Boyd & Lloyd LLC  
 P.O. Box 1135  
 Chicago, IL 60690-1135

CONFIRMATION NO. 6686

## FORMALITIES LETTER



\*OC000000012106280\*

Date Mailed: 03/15/2004

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 10 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required.



A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

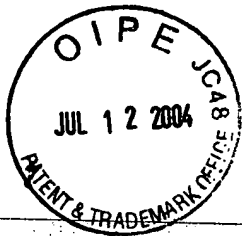
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*A copy of this notice MUST be returned with the reply.*



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